Electronic Filing System (EFS) Data Electronic Patent Application Submission USPTO Use Only

EFS ID:

12423

Application ID:

Title of Invention:

09682562

CpG OLIGONUCLEOTIDES AND

RELATED COMPOUNDS FOR

ENHANCING ADCC INDUCED BY

ANTI-IGE ANTIBODIES

First Named Inventor:

Nancy Chang

Domestic/Foreign Application:

Domestic Application

Filing Date:

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Submission Type:

Utility Patent Filing

Filing Type:

to the total

new-utility

Confirmation Number:

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Attorney Docket Number:

TNX00-08

Digital Certificate Holder:

cn=Cheryl A Liljestrand, ou=Registered Attorneys, ou=Patent and

Trademark Office, ou=Department of Commerce, o=U.S.

Government, c=US

Certificate Message Digest:

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Total Fees Authorized:

\$395.0

Payment Category:

DA - Deposit Account

Deposit Account Number:

200087

Deposit Account Name:

Cheryl A. Liljestrand

TRANSMITTAL FORM



Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number:

TNX00-08

CpG OLIGONUCLEOTIDES AND RELATED COMPOUNDS FOR ENHANCING ADCC INDUCED BY ANTI-IgE ANTIBODIES

First Named Inventor: Dr. Nancy T. Chang

SUBMITTED BY

Name:

in ïIJ

Dr. Cheryl A. Liljestrand

Registration Number:

45,275

Electronic Signature Mark: Cheryl A.

Liljestrand

Date Signed: 20010920

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

patent-assignment

TNX00-08asgn.xml

specification

ADCCspec.xml

declaration

Declaration 1.tif

declaration

sequence-listing

bibd-transmittal

fee-transmittal

Declaration 2.tif

SeqList - TNX00-08.ST25.txt

TNX00-08apds.xml

TNX00-08fee.xml

Attached Image File(s):

Declaration 1.tif

Declaration 2.tif

Comments:

COMBINED DECLARATION AND POWER OF ATTORNEY

Attorney's Docket TNX00-08

As a below named inventor, I hereby declare that:

This declaration is for an original application.

My residence, post office address and citizenship are as stated below next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

"CpG Oligonucleotides and Related Compounds for Enhancing ADCC Induced by Anti-IgE Antibodies"

the specification of which is being filed concurrently.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information, which I know to be material to the patentability of this application as defined in Title 37, Code of Federal Regulations 1.56.

As a named inventor, I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Eric P. Mirabel Reg. No. 31,211 Cheryl A. Liljestrand Reg. No. 45,275

Send correspondence and direct telephone calls to:

Cheryl A. Liljestrand Tanox, Inc. 10301 Stella Link #110 Houston, TX 77025-5497 (713) 578-4000

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, and claim the benefit under Title 35, United States Code, Section 119(e) for any provisional application(s) listed below, insofar as the subject

matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose to the Office information which I know to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

United States Provisional Patent Application No. 60/234,881, filed on September 22, 2000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: Nancy T. Chang

Inventor's signature: <u>Jauces T. Chang</u>

Country of Citizenship: USA

Residence and Post Office Address: 3323 Robinhood, Houston, Tx 77005

FEE TRANSMITTAL

Electronic Version 1.0.4 Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Small Entity

Small Business Concern

TOTAL FEES AUTHORIZED: \$395

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number:

20-0087

Deposit Account Name:

Tanox, Inc.

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

SUBMITTED BY

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in in

Authorized Name:

Cheryl A. Liljestrand

Electronic Signature Mark:

Cheryl A. Liljestrand

Date Signed:

20010918

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid	
Utility Filing Fee	201	\$ 355	

Subtotal For Basic Filing Fee: \$ 355

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 9	203	\$ 9	0	\$ 0
Independent Claims: 1	202	\$ 40	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0

ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

Subtotal For Additional Fees: \$ 40